

89 Rec'd PCT/PTO 01 AUG 1997

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

ATTORNEYS AT LAW

SUITE 600

1100 NEW YORK AVENUE, N.W.

WASHINGTON, D.C. 20005-3934

(202) 371-2600

FACSIMILE: (202) 371-2540



#3

ROBERT GREENE STERN
EDWARD J. KESSLER
JORGE A. GOLDSTEIN
SAMUEL L. FOX
DAVID K.S. CORNWELL
ROBERT W. ESMOND
TRACY-GENE G. DURKIN
MICHELE A. CIMBALA
MICHAEL B. RAY
ROBERT E. SOKOHL
ERIC K. STEFFE
ANDREA G. REISTER

WILLIAM C. ALLISON V
MICHAEL O. LEE
G. KEVIN TOWNSEND*
JOHN M. COVERT*
ANNE BROWN
LINDA E. ALCORN
RAZ E. FLESHNER
ROBERT C. MILLONIG
STEVEN R. LUDWIG
MICHAEL V. MESSINGER
LORI L. KERBER*
JUDITH U. KIM*

KEITH KIND*
TIMOTHY J. SHEA, JR.*
DONALD R. MCPHAIL
PATRICK E. GARRETT*
JEFFREY W. RENNECKER
BARBARA A. PARVIS
MICHAEL A. RAHMAN*
STEPHEN G. WHITESIDE*
NOEL B. WHITLEY*
RICK A. TOERING*
JEFFREY T. HELVEY*

DONALD J. FEATHERSTONE**
LAWRENCE B. BUGAISKY**
KAREN R. MARKOWICZ**
KIMBERLIN M. TOOHEY**

*BAR OTHER THAN D.C.
**REGISTERED PATENT AGENTS

WRITER'S DIRECT NUMBER:

INTERNET ADDRESS:

August 1, 1997

Assistant Commissioner for Patents
Washington, D.C. 20231

Box: Notice to File Missing Parts

Re: U.S. Utility Patent Application
Appl. No. 08/849,117; Filing Date: May 28, 1997
For: **Tissue-Specific Treatment, Diagnostic Methods, and
Compositions Using Replication-Deficient Vectors**
Inventors: Hallenbeck *et al.*
Our Ref: 1136.0010003/JAG/ARB

Dear Sir:

In response to the **Notification of Missing Requirements Under 35 U.S.C. § 371 in the United States Designated/Elected Office (DO/EO/US)** dated July 1, 1997, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Copy of Notification of Missing Requirements Under 35 U.S.C. § 371 in the United States Designated/Elected Office (DO/EO/US);
2. Original Declaration for patent application, executed by the inventors;
3. An original executed Power of Attorney from Assignee;
4. Assignee Certificate under 37 C.F.R. § 3.73(b) with copy of assignment attached; and
5. A return postcard.

Assistant Commissioner for Patents

August 1, 1997

Page 2

It is respectfully requested that the attached post card be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Anne Brown
Attorney for Applicants
Registration No. 36,463

PTO/PCT Rec'd

1 AUG 1997

08/849117

Certificate Under 37 C.F.R. § 3.73(b)

Applicants: Hallenbeck et al.Application No: 08/849,117Filed: May 28, 1997For: Vectors for Tissue-Specific ReplicationGenetic Therapy, Inc.

(Name of Assignee)

, a corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An Assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel to be recorded, Frame to be recorded, or for which a copy thereof is attached.

[or]

B. ☐ A chain of title from the inventor(s) of the patent application identified above to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: ☒July 25, 1997Name: ☒Rachel K. KingTitle: ☒Chief Executive OfficerSignature: ☒[Signature]

Due Date: August 1, 1997

Applicant: Hallenbeck *et al.*

Art Unit: to be assigned

Examiner: to be assigned

Docket: ~~1136.0010003~~ 1136.0020002

Application No.: 08/849,117

Filed: May 28, 1997

Atty: JAG/ARB

For: Tissue-Specific Treatment, Diagnostic Methods, and Compositions Using
Replication-Deficient Vectors

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following documents:

1. Transmittal letter (in duplicate);
2. Copy of Notification of Missing Requirements Under 35 U.S.C. § 371 in the United States
Designated/Elected Office (DO/EO/US);
3. Original Declaration for patent application, executed by the inventors;
4. An original executed Power of Attorney from Assignee; and
5. Assignee Certificate under 37 C.F.R. § 3.73(b) with copy of assignment attached.



Box: Notice to File Missing Parts

Please Date Stamp And Return To Our Courier